

# The Affirmative Funds for Charities Application form

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The Affirmative Deposit Fund for Charities (Charity number 1115887)

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The Affirmative Equity Fund for Charities (Charity number 1087228)

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The Affirmative Fixed Interest Fund for Charities (Charity number 1087227)

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The Affirmative Corporate Bond Fund for Charities (Charity number 1131752)

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Please complete this form in BLOCK CAPITALS in black pen and once complete please return the form together with the enclosures as set out in the checklist (section 8) to **Epworth Investment Management Ltd, 2nd Floor, 9 Bonhill Street, London, EC2A 4PE.**

Epworth Investment Management Limited is the manager of the Affirmative Funds and is authorised and regulated by the Financial Conduct Authority (Firm number 175451).

## 1. Application

I/We apply for units in the Fund at the price ruling on the Valuation Date relevant to this application. This application is subject to the terms and conditions set out in the Scheme order documents and Scheme particulars, all of which are available on the Epworth website ([www.epworthinvestment.co.uk](http://www.epworthinvestment.co.uk)).

Affirmative Deposit Fund for Charities

Units

£

Affirmative Equity Fund for Charities

Units

£

Affirmative Fixed Interest Fund for Charities

Units

£

Affirmative Corporate Bond Fund for Charities

Units

£

**Investors in the Affirmative Equity Fund for Charities, Affirmative Fixed Interest Fund for Charities and/or the Affirmative Corporate Bond Fund for Charities will automatically have an Affirmative Deposit Fund for Charities account opened to enable proceeds to be remitted ahead of the next dealing day.**

## 2. About your charity

### Charity name

### Charity constitution

Trust deed     Limited company     Unincorporated organisation  
 Other (please state)

### Charitable status

To confirm the charitable status of your organisation please provide the charity registration number issued by the Charity Commission for England and Wales or the Office of the Scottish Charity Regulator (OSCR) or if there is no charity number HM Revenue and Customs reference number issued by the Charity Section, HM Revenue and Customs (Charities), St. John's House, Bootle, Merseyside.

Charity number

HM Revenue & Customs reference number

### Correspondence details

Address

Postcode

Telephone number

Correspondent name

Correspondent email address

If the correspondent is also intended to be a signatory to the Fund(s) then please include their details in section 6 below.

### Registered address of charity (if different from above)

Address

Postcode

### 3. Registration information

#### Holding

The holding(s) will be registered in the name of your Charity as set out in section 2. It is possible to add a designation for your own reference purpose below.

#### Nominee holdings

If the investment(s) are to be registered in a third party nominee name, please give details. If this section is not applicable then please leave it blank.

Name of nominee company

Correspondence address

Reference (where applicable)

## 4. Bank account information

### Please indicate how funds will be remitted

By cheque       By bank transfer

### Remittance information

Instructions for payee and bank transfers are set out below. Please use the charity name as the reference when making an initial bank transfer.

Fund	Cheque payable to	Bank transfer details
Affirmative Deposit Fund for Charities	HSBC Bank as Trustees for the Affirmative Deposit Fund	Sort code: 40-05-30 Account number: 44568435 Reference: Your Affirmative Deposit Fund account number and organisation name.

### Charity bank details

If you are sending subscription monies via bank transfer, please set out below the details of the bank account from where the funds will be transferred

Bank/Building society name

Account name

Sort code

Account number

Building society roll number

Swift code

Branch address

This account is where withdrawals will be sent.

### Preference for paying away proceeds of redemptions/withdrawals from the funds

Please tick as many of the following that apply and note that withdrawal of funds can only be made to the nominated bank account in the name of the charity and not to third parties.

Please send the proceeds of all unit sales/redemptions to our Affirmative Deposit Fund

Please send monies withdrawn to the nominated bank account set out above

Other instruction (please state)

## 5. Income

Income can be reinvested or paid away to the Affirmative Deposit Fund account or the nominated bank account (see section 4).

Please indicate the preference:

Income from these and future investments in the Affirmative funds should be (please tick one):

- Reinvested in the funds       Credited to our Affirmative Deposit Fund for Charities account
- Paid away to the account shown in section 4

## 6. Authorisation, declaration and signatories

### Operating instructions

Charity Commission guidance states that accounts should be operated by at least two authorised signatories. We do understand that this is not always possible or practical for smaller charities. Please indicate below the preferred operating instructions for the Affirmative Funds:

<input type="checkbox"/>	Any two authorised signatures	<input type="checkbox"/>	Any one authorised signature
<input type="checkbox"/>	Other instruction (please state)	<input type="text"/>	

### I/We the undersigned hereby confirm that:

- The units/funds to which this application relates are and will at all times be held on behalf of the charity.
- The income will be used solely for charitable purposes.
- The charity is registered with the Charity Commission for England and Wales or the Office of the Scottish Charity Regulator (OSCR) and/or is registered with HM Revenue and Customs as set out in section 2 of this application. (If not then alternative proof of charitable status is required.)
- The charity is eligible to invest in the Affirmative Funds.
- We have read and understood the contents of the Fund(s) particulars (available on request or via the Epworth website at [www.epworthinvestment.co.uk](http://www.epworthinvestment.co.uk)).
- The persons signing below are duly authorised to sign on behalf of the charity.
- We will inform Epworth Investment Management Limited should the charity cease to be eligible to remain invested in the Affirmative Funds.

This warranty is deemed to be applicable to each additional contribution to the Affirmative Funds. We will also notify Epworth Investment Management Limited in writing of any new specimen signature, including the person's name and capacity.

### Data protection

Information needed to carry out our agreement with you. Your personal details will be held by us in accordance with current data protection law for the purposes of carrying out our agreement with you. You agree that Epworth Investment Management Ltd may process your personal data to: (i) confirm your identity and carry out background checks; (ii) provide its services to you; (iii) follow up with you after you request information about its services; (iv) comply with all applicable laws and fulfil its regulatory obligations; (v) prevent and detect abuse of its services.

Epworth Investment Management Ltd may keep records of all business transactions for at least five years. Epworth Investment Management Ltd will treat all clients' records as confidential.

If you wish to exercise your rights to receive a copy of the information that we hold about you please write to us at Epworth Investment Management Ltd, 2nd Floor, 9 Bonhill Street, London EC2A 4PE.

### Authorised Signatory 1

Title	First name	Surname
<input type="text"/>	<input type="text"/>	<input type="text"/>
Title	Date of birth	
<input type="text"/>	<input type="text"/>	
Home address		
<input type="text"/>		
<input type="text"/>		
Postcode	Daytime telephone number	
<input type="text"/>	<input type="text"/>	
Date moved to this address	Passport number or Driving licence number	
<input type="text"/>	<input type="text"/>	
Signature	Date	
<input type="text"/>	<input type="text"/>	

### Authorised Signatory 2

Title	First name	Surname
<input type="text"/>	<input type="text"/>	<input type="text"/>
Title	Date of birth	
<input type="text"/>	<input type="text"/>	
Home address		
<input type="text"/>		
<input type="text"/>		
Postcode	Daytime telephone number	
<input type="text"/>	<input type="text"/>	
Date moved to this address	Passport number or Driving licence number	
<input type="text"/>	<input type="text"/>	
Signature	Date	
<input type="text"/>	<input type="text"/>	

### Authorised Signatory 3

Title	First name	Surname
<input type="text"/>	<input type="text"/>	<input type="text"/>
Title	Date of birth	
<input type="text"/>	<input type="text"/>	
Home address		
<input type="text"/>		
<input type="text"/>		
Postcode	Daytime telephone number	
<input type="text"/>	<input type="text"/>	
Date moved to this address	Passport number or Driving licence number	
<input type="text"/>	<input type="text"/>	
Signature	Date	
<input type="text"/>	<input type="text"/>	

### Authorised Signatory 4

Title	First name	Surname
<input type="text"/>	<input type="text"/>	<input type="text"/>
Title	Date of birth	
<input type="text"/>	<input type="text"/>	
Home address		
<input type="text"/>		
<input type="text"/>		
Postcode	Daytime telephone number	
<input type="text"/>	<input type="text"/>	
Date moved to this address	Passport number or Driving licence number	
<input type="text"/>	<input type="text"/>	
Signature	Date	
<input type="text"/>	<input type="text"/>	



### Authorised Signatory 5

Title	First name	Surname
<input type="text"/>	<input type="text"/>	<input type="text"/>
Title	Date of birth	
<input type="text"/>	<input type="text"/>	
Home address		
<input type="text"/>		
<input type="text"/>		
Postcode	Daytime telephone number	
<input type="text"/>	<input type="text"/>	
Date moved to this address	Passport number or Driving licence number	
<input type="text"/>	<input type="text"/>	
Signature	Date	
<input type="text"/>	<input type="text"/>	

### Authorised Signatory 6

Title	First name	Surname
<input type="text"/>	<input type="text"/>	<input type="text"/>
Title	Date of birth	
<input type="text"/>	<input type="text"/>	
Home address		
<input type="text"/>		
<input type="text"/>		
Postcode	Daytime telephone number	
<input type="text"/>	<input type="text"/>	
Date moved to this address	Passport number or Driving licence number	
<input type="text"/>	<input type="text"/>	
Signature	Date	
<input type="text"/>	<input type="text"/>	

## 7. Additional information and notes

Please use this space to give us any additional information or explanations which are to be used in connection with your application. If necessary please continue on a separate sheet of paper.


## 8. Checklist

Please enclose the following documents (where applicable)

- A copy of the charity's constitution documents
- An original paying in slip from the charity's designated bank account clearly showing the charity's name
- An authorised signature list
- A copy of the charity's latest report and accounts

Please return this form together with the enclosures as set out in the checklist to:

**Epworth Investment Management Limited, 2nd Floor, 9 Bonhill Street, London, EC2A 4PE**